

Express Mail No. <u>EV 475 141 158 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Stavenhagen et al.

Confirmation No.:

8663

Serial No.:

10/754,922

Art Unit:

1641

Filed:

January 9, 2004

Examiner:

To be assigned

For:

IDENTIFICATION AND ENGINEERING OF

(505421-999003)

Attorney Docket No: 11183-004-999

ANTIBODIES WITH VARIANT FC --REGIONS AND METHODS OF USING

SAME

RESPONSE TO NOTICE TO FILE

Mail Stop Missing Parts

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 15, 2004 ("Notice"), a copy of which is attached hereto, respectfully submitted herewith are:

MISSING PARTS OF NONPROVISIONAL APPLICATION

- 1. Replacement drawings (Figures 1-24; 50 sheets of drawing) in compliance with 37 C.F.R §1.84 and 37 C.F.R. §1.121;
- 2. a Preliminary Amendment under 37 C.F.R. § 1.115;
- 3. a duplicate copy of the Notice;
- 4. Declaration and Power of Attorney executed by Jefferey Stavenhagen, Sujata Vijh, Christopher Rankin, Sergey Gorlatov, and Ling Huang on July 29, 2004 and July 30, 2004 respectively;
- 5. Power of Attorney By Assignee and Exclusion of Inventors under 37 C.F.R. §3.71 with Statement under 37 C.F.R. §3.73(b) executed by Scott Koenig on behalf of MacroGenics, Inc. Mr. Koenig is authorized to act on behalf of MacroGenics, Inc.;
- 6. A Petition for Extension of Time under 37 C.F.R §1.136 (a) for two (2)

months (with provision for required fee) is also enclosed; and

7. A Request to Correct Filing Receipt.

An executed Assignment has been submitted concurrently herewith (a copy of which is enclosed) for recordation with the United States Patent Office of the ownership interest of MacroGenics, Inc. in the above-identified application.

Pursuant to 37 C.F.R. §1.16(e), the surcharge required for filing of the Declaration is believed to be \$65.00. Please charge the required fee to Jones Day Deposit Account No. 50-3013, along with any deficiencies in fees due. A duplicate copy of this sheet is enclosed.

Date:

October 15, 2004

Respectfully submitted,

40,922

Margaret B. Brivanlou

(Reg. No.)

JONES DAY

222 West 41st Street

New York, New York 10017-6702

(212) 326-3939

Enclosures

PATENT AND TRADEMARK OFFICE

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandra, Vriginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/754,922

NEW YORK, NY 10017

20583

JONES DAY **222 EAST 41ST ST** 01/09/2004

Jeffrey Stavenhagen

11183-0004-999

CONFIRMATION NO. 8663

FORMALITIES LETTER

OC00000012955539

Date Mailed: 06/15/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 4,6,7,10.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 4,6,7.

The following item(s) appear to have been **omitted** from the application:

• Figure(s) 11b described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR** 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE